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PATENT TRADEMARK OFFICE

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Attorney Docket No. 3001 P 009

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Patent Application Of
Kenneth Kiron and Kevin Bander

Application No.: 09/579,801

Filed: May 26, 2000

For: OPEN END MUTUAL FUND
SECURITIZATION PROCESS

Examiner: Unassigned

Group Art Unit: 2761

Commissioner for Patents
Washington, D.C. 20231RECEIVED
MAY 4 - 2001
Technology Center 2100**NOTIFICATION OF FILING OF CONTINUATION APPLICATION**

Notification is hereby being made of the filing of a Continuation Application for this case
filed on April 23, 2001, Attorney Docket No. 3001 P 015.

Respectfully submitted,

Date:

4/23/01

By:

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312.554.3300**CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail,
postage prepaid, in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on April 23, 2001.

Kathleen Rundquist/121080.1